

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: John Anthony Charles ASCHER, David Keith SUMMERS, Harve Jacquelin ROLAND and Justin Antoine Christian POWELL
 Application or Patent No.: 09/446,681
 Filed or Issued: December 23, 1999
 For: BIOSENSOR MATERIALS AND METHODS

**VERIFIED STATEMENT (DECLARATION) FOR
 SMALL ENTITY STATUS [37 CFR §1.9(f) and §1.27(c)] - SMALL BUSINESS CONCERN**

I hereby declare that I am making this verified statement to support a claim by the above-identified applicant or patentee for small entity status for purposes of paying reduced fees with regard to the above-identified invention described in

☐ the specification filed herewith
☒ U.S. Patent Application No. 09/446,681, filed December 23, 1999
☐ U.S. Patent No. _____, issued _____

I hereby declare that I am empowered to act on behalf of the small business concern identified below.

☒ I am the owner.
☒ I am empowered to act as Director of the concern.

Full name of the concern: Cambridge University Technical Services Limited
 Address of the concern: The Old School, Cambridge CB2 1TS, Great Britain

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §121.3-18, as reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the above-identified small business concern with regard to the above-identified invention.

If the rights held by the small business concern are not exclusive, each individual, concern or organization known to have rights to the invention is listed below and the concern knows of no rights to the invention being held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR §1.9(a) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or by a nonprofit organization under 37 CFR §1.9(e).

FULL NAME:
 ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
 FULL NAME:
 ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
 FULL NAME:
 ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
 *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention asserted to their status as small entities. (37 CFR §1.27)

I acknowledge the duty to file, in this application or patent, notification of any changes in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: X DR. RICHARD C. JENNINGS

Title in Organization : X DIRECTOR

Address : The Old School, Cambridge CB2 1TS, Great Britain

Signature : X R.C. Jennings Date: 21 February 2000

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